

1 **AN INNOVATIVE APPROACH TO OPTIMIZATION OF PERSONNEL SERVICE**
2 **SYSTEM IN DEVELOPING COUNTRIES**

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1 ABSTRACT

2 Personnel services provide comfortable and accessible transportation for the employees of a
3 workspace. However, the financial load of this system is significantly high for both private sector
4 and government budget. The money spent is for only a group of passengers and causes missed
5 opportunities, such as improved public transportation systems. This situation causes an equity
6 issue. This research presents an innovative systematic approach for the optimization of the
7 personnel shuttle service of Bogazici University and aims further improvement by integrating the
8 public transportation systems with personnel shuttles. By using clustering and heuristic
9 optimization algorithms, passengers are assigned to the most suitable shuttles and the routes of
10 the shuttles services are organized accordingly. The resulting system significantly decreased the
11 number of required shuttles and the distance traveled per shuttle in the system, which reduces the
12 financial load on the university budget and the overall impact on the traffic network in the city.
13 The saved budget of the university can be used for other needed areas of the university for the
14 benefit of all the students and the staff. The ease of implementation of this system may provide
15 improved traffic conditions in the long term, as other private and public workplaces start to
16 implement this system for their personnel services as well. With this system becoming more
17 common, the public transportation is also promoted, motivating the government budget to be
18 spent for this system, which benefits all passengers. The impact on the equity with this system is
19 expected to decrease significantly.

20

21 *Keywords:* Personnel shuttles, Public transportation system integration, Heuristic optimization

1 INTRODUCTION AND BACKGROUND

2 Providing reliable transportation systems for the citizens of a city is crucial in many aspects.
3 Public transportation has a key role for achieving this objective. Developed countries such as
4 Germany, Japan, Austria, Switzerland are offering quick and efficient public transportation for
5 their citizens in their cities. Successful public transportation systems offer mobility and
6 accessibility to its users. Captive users, who have just one motorized mode of transportation for
7 intra-urban trips and mostly have lower income (*1*) are more dependent on the public
8 transportation system. This situation makes this system an important element for compensating
9 the gap between citizens with different levels of income. On a more macroscale, when the
10 benefits of the transit systems are considered, the reduced levels of traffic congestion due to
11 public transportation systems can provide economical and environmental benefits. However, in
12 some cities, the public transportation systems cannot meet the high levels of demand which
13 makes them very crowded and decreases their level of comfort and efficiency. For this reason,
14 governments and private sector firms provide an alternative mode of transportation for their
15 employees in some countries. This alternative is called the personnel shuttle service, offered to
16 the working citizens.

17 Personnel services provide transportation services for the employees of a given
18 workspace for free of charge. Employees using this service has the benefit of not using their own
19 car for traveling to work and getting free of charge and comfortable transportation service.
20 The personnel services are beneficial to the traffic by providing a decrease in the number of
21 vehicles in the traffic. In this perspective, it can be said that the personnel services act as a type
22 of public transportation. In a research conducted in Seattle, it was observed that if the personnel
23 service vehicles use the bus stops to collect their passengers, the bus schedules are not affected
24 negatively (*2*). In some cities innovative systems that fuses personnel shuttles with the public
25 transportation systems are tested and researched. A last mile service route is offered for the
26 passengers that want to reach the railway systems for the city of Utah. By doing so passengers
27 use these shuttles instead of using their own private vehicles (*3*). In a different research, by
28 utilizing meta-heuristic methods, routes are generated for shuttle services traveling to metro
29 stations which minimizes the cost for both the shuttle service users and providers (*4*). The
30 coordination between the personnel service and public transportation systems is crucial for the
31 improved efficiency of a city. However, there are some drawbacks of these personnel shuttles
32 due to the fact that they are not very sustainable systems and they can cause a negative impact on
33 the equity among all passengers.

34 As the population of a city increases, the amount of working citizens also increase. The
35 governments and private sector companies need to increase their budget constantly for their
36 employees in keeping this system operating. Without any kind of planning or properly
37 functioning system, the shuttle system is destined to be unsustainable due to the constant
38 increase of budget for operating this system. The money spent by the government can instead be
39 used for improving the public transportation infrastructure, which in the long term benefits all
40 the citizens in a much more efficient way. This missed opportunity causes an equity issue.

41 The role of public transportation is especially important during peak hours. More
42 specifically, at the business districts these shuttles are causing more load to the traffic network,
43 more so during peak hours. The fact that these vehicles are only being used during the morning
44 and the evening peak hours and leaving these vehicles parked during the rest of the day,
45 decreases the efficiency of this system immensely. While they are not being used, they occupy
46 precious parking space around the city. Business districts become crowded intensively as the end
47 of the work hours gets closer, causing serious traffic problems. For a system that is only being

1 used for specific times of the day, the companies and the governments are paying a tremendous
2 amount of money for it.

3 The personnel services are currently providing a significant amount of transportation
4 service for the working citizens. However, it is also obvious that the current state of this system,
5 without any kind of systematic planning, causes issues and in the future these issues may worsen.
6 The impact due to these large vehicles can influence the traffic on a much severe scale as the
7 number of these shuttles increase, which will also increase the required financial budget spent for
8 this system. The aggravated state of the traffic condition will cause longer travel times for all
9 vehicles in traffic. The increased travel times will also cause the perceived level of comfort of the
10 personnel shuttles by its users to be decreased. Because of this, shuttle users may choose to
11 purchase private vehicles for transportation purposes, which will cause the number of vehicles in
12 traffic to increase and; therefore, making the traffic congestions in the city even worse. The
13 benefits for only a group of passengers will decrease and the travel conditions for the rest of the
14 passengers will continue to worsen even further. As these issues becomes more severe, the
15 negative impact on the equity due to the personnel shuttle system will continue to increase. The
16 current state of this system is unsustainable and therefore needs immediate improvements. In this
17 study, a new approach is proposed to make the personnel shuttle system less damaging to the
18 equity and traffic conditions. With the data and knowledge available from the Boğaziçi
19 University from previous studies, this new method is applied to the personnel shuttle service of
20 the university. Hence, it was aimed to obtain the following:

- 21
- 22 • Creating a systematic method for optimizing the current personnel shuttle service by
23 decreasing the number of shuttles in the system. This results in the financial burden of this
24 system on the university budget to be lessened;
- 25 • Proposing a new system, namely subwaypooling, which will divert the current passengers
26 of the personnel shuttles to the public transportation system and therefore promoting the public
27 transit modes of transportation.
- 28

29 By decreasing the financial load of the personnel shuttles and increasing the public
30 transportation demand, the government can be encouraged to spend the surplus budget for
31 improving the conditions of the public transport system. The improved public transportation
32 systems will benefit all passengers in the long term and improve the equity among all passengers.

33

34 **Personnel Service System of Boğaziçi University**

35 Bogazici University offers private shuttle services to its personnel which are free of charge. 61
36 different routes are available for 1166 personnel registered to this system. The services travel
37 3460 km per day. The personnel show their id card when they get on the shuttle both in the
38 morning and the evening.

39 The system is funded by the budget from the government. However, due to the increased
40 number of employees and shuttles, in 2015 the university administration had to additionally
41 allocate its own budget to fund this system instead of using it for other needed places in the
42 university. Therefore, the university has conducted a study to analyze the current state of this
43 system. In this study, the system was monitored for 2 weeks in order to observe the condition
44 and a survey has been conducted with the personnel of the university. Monitoring of the card
45 system showed that 25.3% of the registered users did not use the shuttles during this period.

46 In the survey, some demographic properties of the personnel, such as the distribution of
47 age, level of income and gender, are collected. Majority of the level of monthly income of the

1 personnel is below 1100\$ (FY 2015 dollars).

2 Of the 537 personnel participated to this survey 309 of them (57.54%) does not own a
3 private vehicle and 349 of the personnel (64.99%) is living close to the campus. The preferred
4 mode of transportation for reaching to or departing from the campuses was asked to the
5 participants. The majority of the participants (50.47%) declared that they prefer personnel shuttle
6 services. 26.26%, 15.08% and 8.19% of the participants use private vehicles, public
7 transportation and walking respectively. The reason why personnel are not using their private
8 vehicles was inspected. The most probable reasons are asked to the survey participants. The
9 traffic congestion and the price of gas (31.03%) was the most selected answer.

10 The results of the monitoring period of the card system indicates the need to inspect the
11 reason why the personnel are not using this system. The top three answers to this question are as
12 follows:

- 13
- 14 • 35.59% of the participants declared that the alternative preferred mode of transportation
15 is faster;
 - 16 • 23.87% of the participants declared that the shuttle routes are not suitable (too long) for
17 the users;
 - 18 • 20.27% of the participants declared that the timetable of the shuttle (picking up too early)
19 is not suitable.
- 20

21 In a study, it was determined that as the level of income decreases the demand for public
22 transportation increases (5). By looking at the level of income of the personnel, it can be
23 assumed that in the case of cancelling the personnel shuttles, the users most probably will choose
24 public transportation as their preferred mode of transportation.

25 The planning of this system is currently made without any systematic method. The routes
26 and passenger assignments are determined using only the experience of the officers. The results
27 of the survey and monitoring of the card system shows that the current system is not very
28 efficient. That is why a new method for the personnel service is required. The number of shuttles
29 needs to be decreased while maintaining the level of comfort of this system for lessening the
30 financial burden of this system on the university's budget. Still, optimization of this system may
31 not make this system sustainable. Therefore, a new system is also proposed which integrates the
32 public transportation system as well for transporting the passengers to the campuses and to their
33 homes, promoting the public transportation ridership during this process.

34

35 **THEORY**

36 For the construction of this new system the following algorithms are utilized for clustering and
37 classifying the passengers, drawing routes for passengers going to the shuttles and the shuttles
38 going to their assigned campuses:

39

- 40 • K-Medoids Algorithm;
 - 41 • Hierarchical Clustering Algorithm;
 - 42 • Support Vector Regression (SVR);
 - 43 • 3-Opt Algorithm;
 - 44 • Tabu Search Algorithm.
- 45
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1 **K-Medoids Algorithm**

2 K-medoids clustering algorithm has the objective to distribute a set of N data points into K many
 3 clusters. The process begins with a random selection of distinct data points as cluster centers.
 4 Afterwards, each of the remaining data points are assigned to the closest cluster center
 5 subsequently:

6
7

$$8 \quad c(n) = \arg \min D(x_n, x_k), n \in \{1, \dots, N\}, k \in \{1, \dots, K\}, \quad (1)$$

9

10 where $c(n)$ is the assigned cluster of the data point n , and x_n and x_k are the coordinate
 11 vectors of data point n and cluster center k respectively. During the assignment process a distance
 12 matrix is required. The required computation amount for this part is proportional to $K \times N$.

13 For the next step, the objective is to minimize the total dissimilarity cost which is defined
 14 as follows:

15
16

$$17 \quad \min_{c_i \{i_k\}_1^K} \sum_{k=1}^K \sum_{c(n)=k} D(x_n, x_k), \quad (2)$$

18

19 where i_k is the index for the k^{th} cluster center in the data set. This objective is
 20 accomplished by the exchange of the center of each k cluster with other data points in the cluster
 21 which minimizes the given objective. This process has the computational complexity of $O(K(N$
 22 $- K)^2)$. The two-step process is iterated multiple times until the medoid assignments are stable
 23 between 2 consecutive iterations. Due to the randomization of this process, the overall algorithm
 24 is repeated multiple times to observe the best results. The intensive computation of this algorithm
 25 is counterbalanced by the increased efficiency by utilizing the relative rank of the cluster
 26 members as an intrinsic part of the search algorithm (6).

27

28 **Hierarchical Clustering Algorithm**

29 The idea behind hierarchical clustering algorithm is to build a binary tree of the data that
 30 combines similar groups of points, where at the beginning of this process each data instance is
 31 treated as a singular cluster. By visualizing this constructed tree, the results are much easier to
 32 interpret.

33 For initiating the process, each observation is initially treated as a separate cluster with a
 34 population of one. Then the following two steps are repeated until all clusters are merged
 35 together:

- 36 1. Select and identify two clusters that are closest to each other;
- 37 2. Merge the most similar clusters.

38

39 At the end of this process, a dendrogram is generated, which indicates the hierarchical
 40 relationship between each clusters. This process is more specifically called agglomerative
 41 hierarchical clustering. The opposite way of this process is to consider all data points as one big
 42 cluster and successively dividing this cluster. This way of this algorithm is called divisive
 43 hierarchical clustering and it is rarely applied in practice.

44

45

1 Support Vector Regression (SVR)

2 SVR is one form of the support vector machines (SVMs) and it is also the most common.

3 Assume that the training data $\{(x_1, y_1), \dots, (x_N, y_N)\} \subset \mathcal{X} \times \mathcal{Y}$ where \mathcal{X} stands for the space of the
4 input patterns. The goal is to determine a function $f(x)$ that has less error than ε where ε has been
5 determined at the beginning of the process. For linearly separable cases the following formula is
6 valid:

$$7 \quad f(x) = \langle w, x \rangle + b \text{ with } w \in \mathcal{X}, b \in \mathbb{R}, \quad (3)$$

9
10 where \langle, \rangle stands for the dot product in \mathcal{X} . w in (3) stands as the inverse of margin, which
11 indicates the separation distance between the different classes. Maximization of this margin is
12 equivalent to minimize w , which can be written as a convex optimization problem:

$$13 \quad \begin{aligned} & \text{minimize} && \frac{1}{2} \|w\|^2 \\ & \text{subject to} && \begin{cases} y_i - \langle w, x_i \rangle - b \leq \varepsilon, \\ \langle w, x_i \rangle + b - y_i \leq \varepsilon \end{cases} \end{aligned} \quad (4)$$

15 Formula (4) can be perfectly valid during linearly separable cases. However, majority of
16 the real time situations are not linearly separable and thus, some errors must be allowed. Vapnik
17 (1995) introduced slack variables ξ_i, ξ_i^* for dealing with infeasible constraints of the optimization
18 problem. The formula (2) transforms into the following form:

$$19 \quad \begin{aligned} & \text{minimize} && \frac{1}{2} \|w\|^2 + C \sum_{i=1}^l \xi_i + \xi_i^* \\ & \text{subject to} && \begin{cases} y_i - \langle w, x_i \rangle - b \leq \varepsilon + \xi_i, \\ \langle w, x_i \rangle + b - y_i \leq \varepsilon + \xi_i^* \\ \xi_i, \xi_i^* \geq 0 \end{cases} \end{aligned} \quad (5)$$

21
22 where $C > 0$ is the constant that determines the tolerance for the permitted errors.

24 3-Opt Algorithm

25 This algorithm is a local search algorithm used for various network optimization problems (7).

26 The aim is to minimize the length of the tour by changing the visiting order of locations. A link is
27 defined between a location X and a location Y. The algorithm is as follows:

- 28 1. Arrange the locations in a random order and call it the initial route;
- 29 2. The total length of the initial route is calculated by considering the distances between the
30 locations and it is called D_0 ;
- 31 3. 3 different links between any 2 consecutive locations of the route are selected and
32 virtually interexchanged. The new route distance is again calculated and called D_1 ;
- 33 4. If $D_1 < D_0$ interexchange made in step 3 is now permanent, else reset the tour route to the
34 state constructed in step 1;
- 35 5. Return to step 3 and repeat process while shorter length tours are found;
- 36 6. Stop procedure when the pre-determined stopping condition is satisfied.

37

1 The crucial point of this process is that, although the algorithm provides near-optimum
2 solutions, getting stuck in a local minimum is a potential problem for this process. This indicates
3 that the 3-opt algorithm can get stuck near some undesirable local minima. By widening the
4 search zone for an optimum solution or by accepting worse solutions for escaping the local
5 minima, the search algorithm can escape from its local minima entrapment.

6 7 **Tabu Search Algorithm**

8 The aim of this algorithm is to widen the scope of the search algorithm that is looking for an
9 optimum solution, by avoiding the previously constructed improved solutions for a
10 predetermined length of time. This algorithm was created in order to decrease the local search
11 method's probability of the tendency to become stuck in sub-optimal solution zones. The
12 fundamental rule of not accepting worse solution is softened by this algorithm and the search
13 scope is widened by discouraging the search algorithm to repeat similar solutions. The
14 previously constructed solutions are kept in a memory structure called tabu list. The solutions in
15 this list are kept in memory for a short term in order to avoid repetition and getting stuck in one
16 search space. The memory types are divided into three categories (8):

- 17
18 1. Short-term: consisting of recently visited solutions and cannot be revisited until the
19 solution on the tabu list expires based on a pre-determined time;
- 20 2. Intermediate-term: rules that turns the search towards more promising areas of the search
21 space;
- 22 3. Long-term: rules that cause the search algorithm to dive into new regions.

23
24 The algorithm is completed when the pre-determined stopping condition of the search
25 algorithm is satisfied.

26 27 **METHODOLOGY**

28 Initially, the addresses of the personnel using the personnel shuttles were obtained from the
29 university administration. For visualization, the addresses were plotted on a map using ARCGIS
30 software. The registered personnel are then classified based on which side of the city that they
31 are residing in (Europe or Anatolia) and their destination, which is one of the campuses of
32 Bogazici University.

33 By using the constructed method, an improved system with decreased number of shuttle,
34 is aimed to be obtained. For further improvement a second system is also proposed, which aims
35 to take advantage of rail and bus rapid transit (BRT) public transportation systems. That system
36 will be called "Subwaypooling".

37 38 **Shuttle Optimization**

39 This method is applied to the South campus personnel residing in the European and
40 Anatolian part of Istanbul separately due to the fact that the majority of the personnel's
41 destination is to South Campus; thus the effort is put into the optimization of South Campus
42 shuttles. The overall process is shown in the flowchart below as a summary (Figure 1).

43

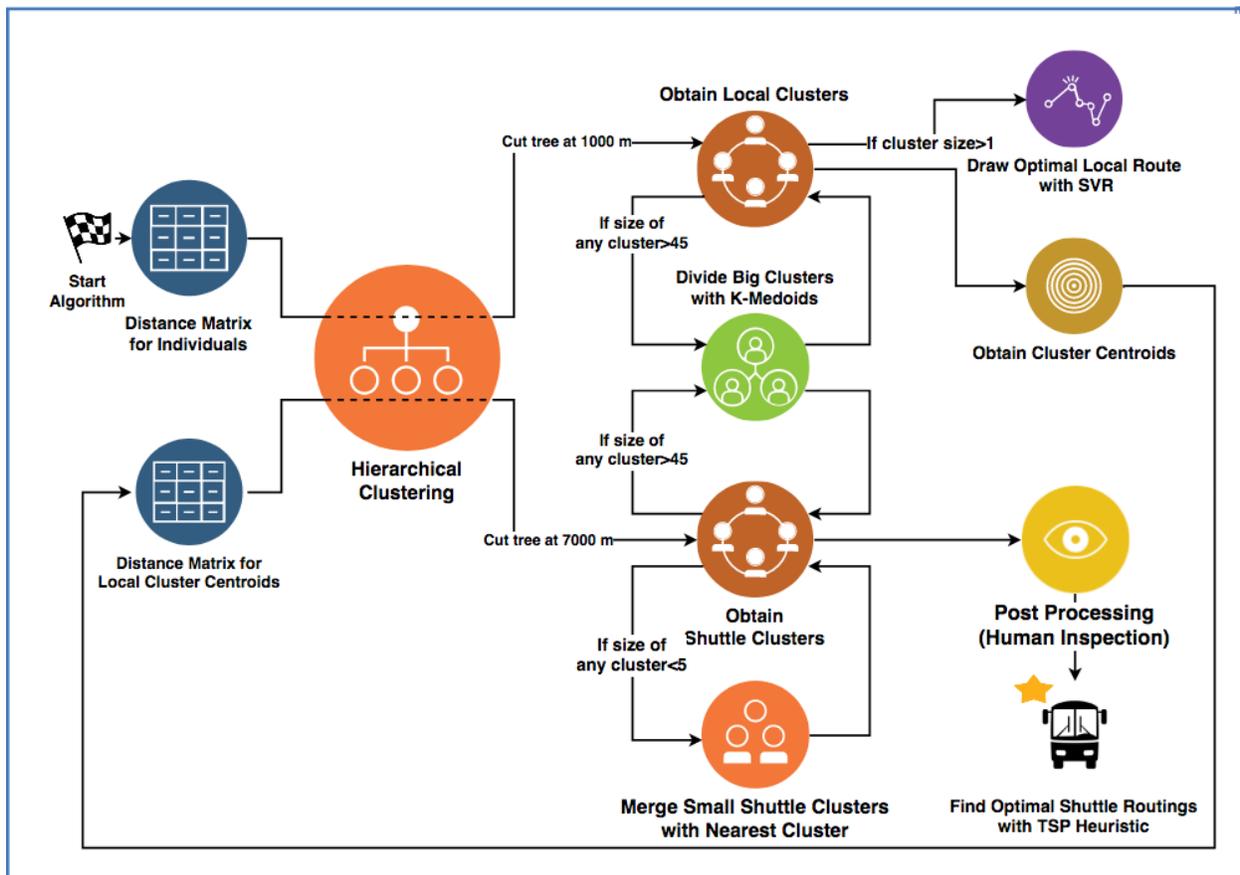


FIGURE 1 Flow-chart for shuttle optimization process.

Distance matrix is calculated for individuals using the manhattan distance. Manhattan distance, also called as city block distance, is chosen since it is the most realistic distance metric for the city environment in both walking and driving scenarios. Manhattan distance is calculated using the following formula:

$$d(x, y) = \sum_{i=1}^m |x_i - y_i| \quad (6)$$

The constructed inter-individual distance matrix is used to make a hierarchical clustering with complete linkage to achieve compact local clusters.

Local clusters are the cluster of individuals to be picked up at a common point by the shuttle or to the main road that shuttle passes, individuals are required to walk to this point or to the main road on their own. The maximum walking distance is taken as 1000 meters, thus the hierarchical clustering tree is cut at 1000-meter height and local clusters are obtained. After the local clusters are obtained, they are checked to see if the size of any of the local clusters exceeds the shuttle capacity which is 45 passengers. If any of the local clusters exceed this limit they are divided using k-medoids into evenly sized and closely clustered sub-clusters.

For each local cluster that has more than a single person, an idealized route that passes between the individuals is required for the shuttle. An ideal route should be drawn such that the maximum walking distance of any passenger to the route of the shuttle, should be as small as

1 possible. SVR is used for drawing the ideal route for its ability to fit in various shapes given the
 2 correct epsilon parameter and kernel. A cross validation is applied to the data points in the
 3 cluster for various epsilon parameters, various kernels (polynomial, radial basis function and
 4 sigmoid) and error term is defined as maximum absolute error rather than a mean squared error
 5 since the maximum walking distance is the main concern. Radial basis function (RBF) found to
 6 be the best suiting kernel. The RBF kernel for two samples, x and x' which is represented as
 7 feature vectors in some input space is defined as follows (9):
 8

$$9 \quad K(x, x') = \exp\left(-\frac{\|x-x'\|^2}{2\sigma^2}\right) \quad (7)$$

10
 11 Centroid of the local clusters are obtained and each local cluster is treated as a single
 12 point. Another distance matrix is formed for this cluster-center points using manhattan distance
 13 since the distance between clusters will be travelled by the shuttle in a city environment. The
 14 cluster center locations are clustered by hierarchical clustering with complete linkage. Since a
 15 shuttle's users should not be too spread out, causing long personnel collecting times on top of
 16 actual travel time, this linkage method was preferred. Shuttle's user collecting route length
 17 should be smaller than a previously designated distance, 7 kilometers in our case thus the
 18 hierarchical clustering tree is cut at 7000 meters. This new clustering is named as "shuttle
 19 clusters" since it indicates which local cluster is assigned to which shuttle. A major assumption
 20 while assigning shuttles is that a shuttle picks-up people in a close neighbourhood and does not
 21 pick up others on the way to the campus.

22 In some cases, local clusters had very few number of people and are very far apart to
 23 other local clusters for merging. Therefore, a shuttle is assigned to these far apart clusters with
 24 the algorithm. However, assigning a shuttle for less than 5 people is deemed to be economically
 25 infeasible and damaging for both the traffic and the environment. For these reasons, small shuttle
 26 clusters are merged with the closest shuttle cluster center recursively until there are no small
 27 clusters left.

28 If the maximum capacity limit of a shuttle, which is 45 passengers, is exceeded, these
 29 shuttle clusters are divided into smaller groups. K-medoids clustering was again utilized for this
 30 operation due to the same reasons specified before.

31 The road conditions of a city may not always be up to date and cause shuttle
 32 assignments to be useless. City divisions and other aspects that are difficult or impossible to
 33 analytically define require a human intervention during the post-processing phase.

34 After the post-processing of the shuttle assignments, for each shuttle, an optimal route
 35 that passes through the local clusters assigned to that shuttle is investigated. This can be
 36 formulated as a traveling salesman problem with an additional dummy starting node, which is
 37 the university campus. The distance from each node to dummy and vice versa is taken as 0. A
 38 heuristic method is used for the problem since Traveling Salesman Problem (TSP) is and Non
 39 Deterministic Polynomial (NP)-Hard problem.

40 3-Opt algorithm reinforced with Tabu search algorithm is selected as the search
 41 algorithm in order to generate a wide scope of solutions and avoid getting stuck at some local
 42 minima for this minimization problem.
 43
 44
 45

Subwaypooling System

This innovative approach for personnel shuttles, combines the previously constructed method with the public transportation system of the city. If the city's public transportation has sufficient capacity for satisfying the demand levels especially during the beginning and end of work hours this system can improve the efficiency of the personnel shuttles even further, as well as promote public transportation indirectly. In the long term, it may motivate the passengers to use more public transportation instead of personnel shuttles. The overall process is shown in the flowchart below as a summary (Figure 2).

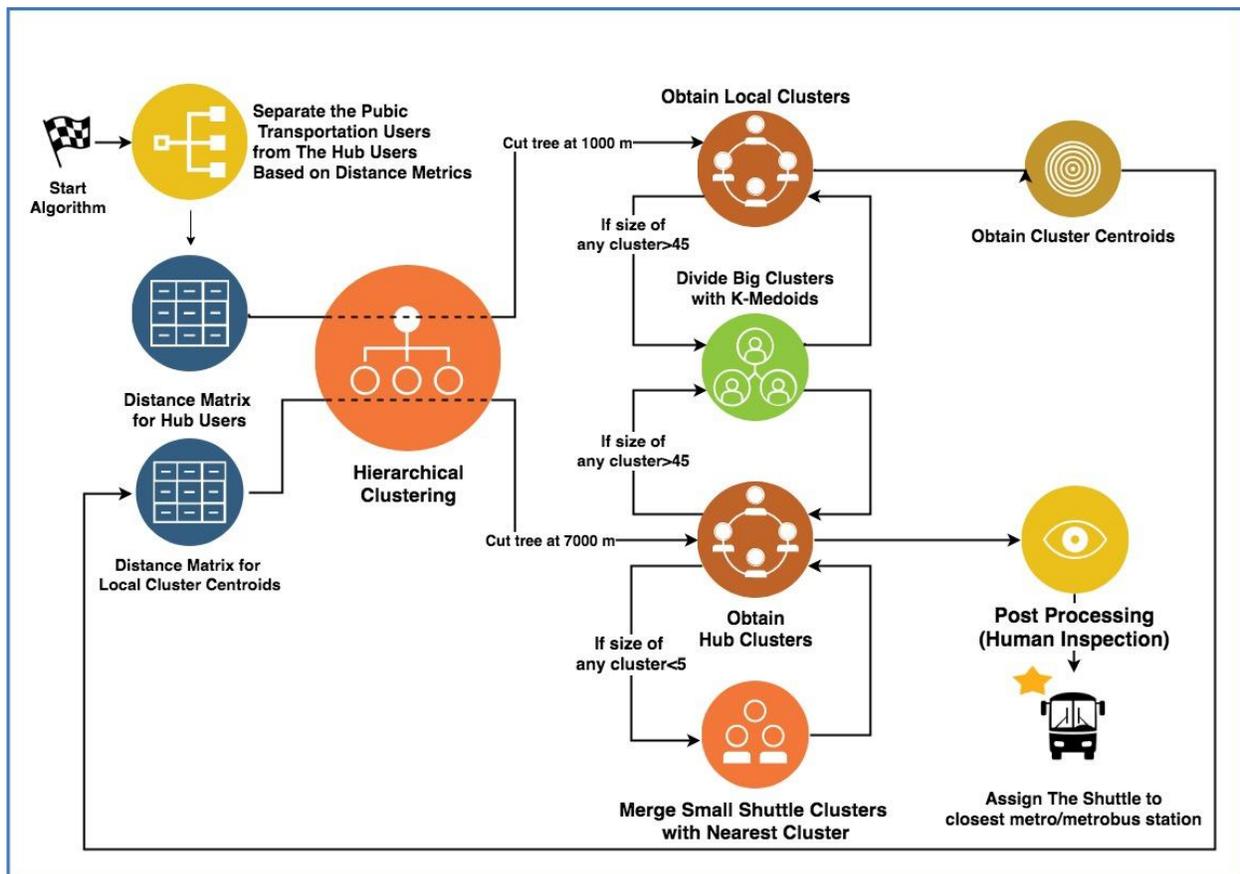


FIGURE 2 Flow-chart for subwaypooling system process.

Initially the public transportation systems of the city of Istanbul is inspected. It was observed that M2, M4, M5, M6, T1, F2, F3 subway lines and metrobus system have access to the campus in a reasonable time. In addition, data of bus lines and stations are gathered and bus station interchange matrix and bus station distance matrix are calculated from the IETT data. Bus station interchange matrix shows how many interchanges are needed for going from one station to another in the shortest travel case. Bus distance matrix shows how many stations should be passed to go from one station to another in the shortest travel case. A major assumption used in here was that stations on the average are not too far apart from each other and total distance from a station to another is minimized by minimizing the stations visited in between. The personnel are divided into categories named as follows:

- 1 • Direct Public Transportation Access, which means passengers that have access to the
2 subway/BRT stations and can reach to campus directly by themselves;
- 3 • Transfer Public Transportation Access, which indicates passengers that do not have direct
4 access to the subway/BRT stations but can reach to those stations by traveling with bus for at
5 most 2 stations;
- 6 • Hub/Vanpool based on their closeness to subway system, which is consisting of the
7 passengers that are picked up by shuttles from their homes and transported to either a suitable
8 subway/BRT station or to campus directly, based on the final decision made in the manual
9 checking process.

10 The categorization is done in the following way:

11 1. Is the person in walking distance to a metrobus station or a station of a selected
12 metro-line (M2, M4, M5, M6, T1, F2, F3) (from now on, metrobus station or a station of a
13 selected metro-line will be abbreviated as metro/bus station)?

14 1.1. If the answer is yes, then assign passenger to Direct Public Transportation Access
15 category.

16 1.2. If the answer is no, then: check if the passenger is in walking distance to any bus
17 station?

18 1.2.1. If the answer is yes, then determine the bus stations in vicinity of that person.

19 1.2.2. If the answer is no, then: check if the passenger can access to a metro/bus
20 station in less than 2 stations and 1 transportation mean? (Calculated from Bus Station Distance
21 Matrix and Bus Station Interchange Matrix, respectively.)

22 1.2.2.1. If the answer is yes, then assign passenger to Transfer Public Transportation
23 Access category.

24 1.2.2.2. If the answer is yes, then assign passenger to Hub/Vanpool category.

25 Individuals in Direct Public Transportation Access and Transfer Public Transportation
26 Access categories are expected to use the public transportation network to arrive at the campus
27 the remaining individuals in Hub/Vanpool category will be taken via shuttle bus. The remaining
28 methodology deals with individuals in this group.

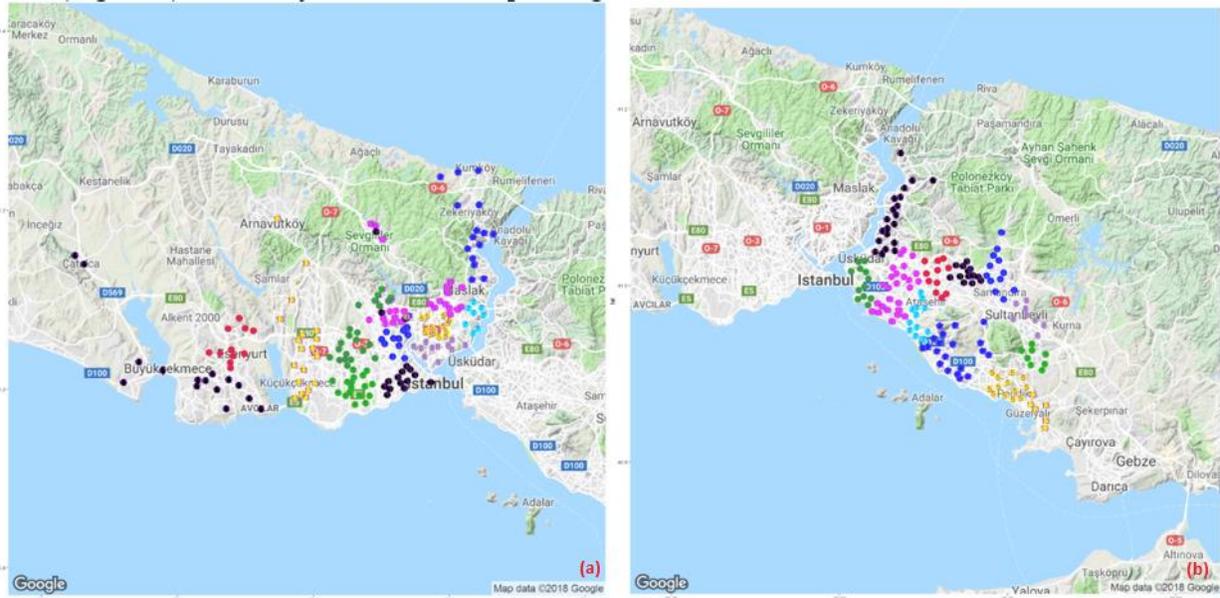
29 Shuttle assignments are done in the same fashion as the “Shuttle Optimization” part
30 except the merging and final route planning and a few minor changes. Merging is not applied
31 since the shuttles will travel a shorter length than before and shuttle users will travel through
32 public transport after using a shuttle thus shuttle route should not be long for anyone for fairness.
33 After the assignments and post-processing are done the shuttles are directed to the closest
34 metro/bus station to their cluster centroid rather than the campus. People inside the shuttle
35 continue their journey to the campus from the public transportation station. It was also decided
36 that shuttle’s user collecting route length should be smaller than a previously designated
37 distance, which is 5000 meters for this case.

38 This new clustering is named as hub clusters since it indicates which local cluster is
39 assigned to which shuttle. For each hub, the closest metro/bus station to the hub centroid is
40 investigated and shuttle route is assigned to end at the closest metro/bus station. People inside the
41 shuttle will continue their journey from the public transportation station. With this method it is
42 aimed that the travel times will decrease even further and public transportation will be promoted.

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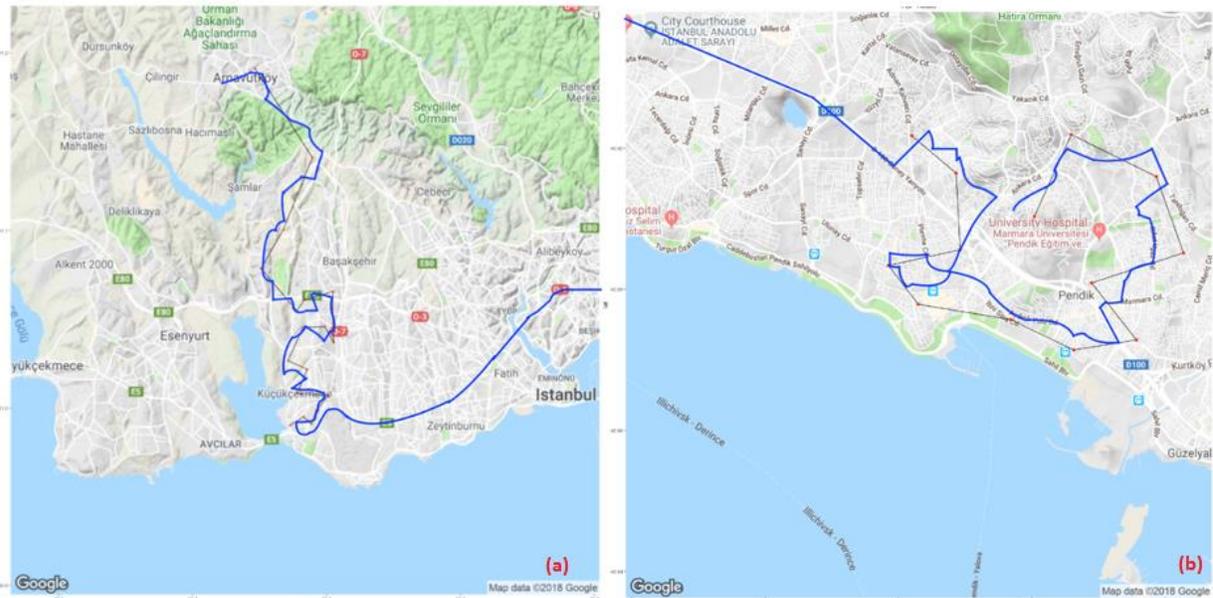
1 **RESULTS**

2 After applying the new shuttle optimization method for passengers from both European and Anatolia
3 sides (Figure 3) of the city, the clusters of passengers were visualized.
4



5
6
7 **FIGURE 3** Passengers with their shuttle labels after the merging for European (a) and
8 Anatolian (b) side of the city.
9

10 After the process is completed, the shuttle routes were generated and were manually checked for
11 avoiding avenues with too many traffic signals and excessive traffic congestion during the peak
12 hours. Examples for the final routes are given for both European and Anatolian side (Figure 4).
13



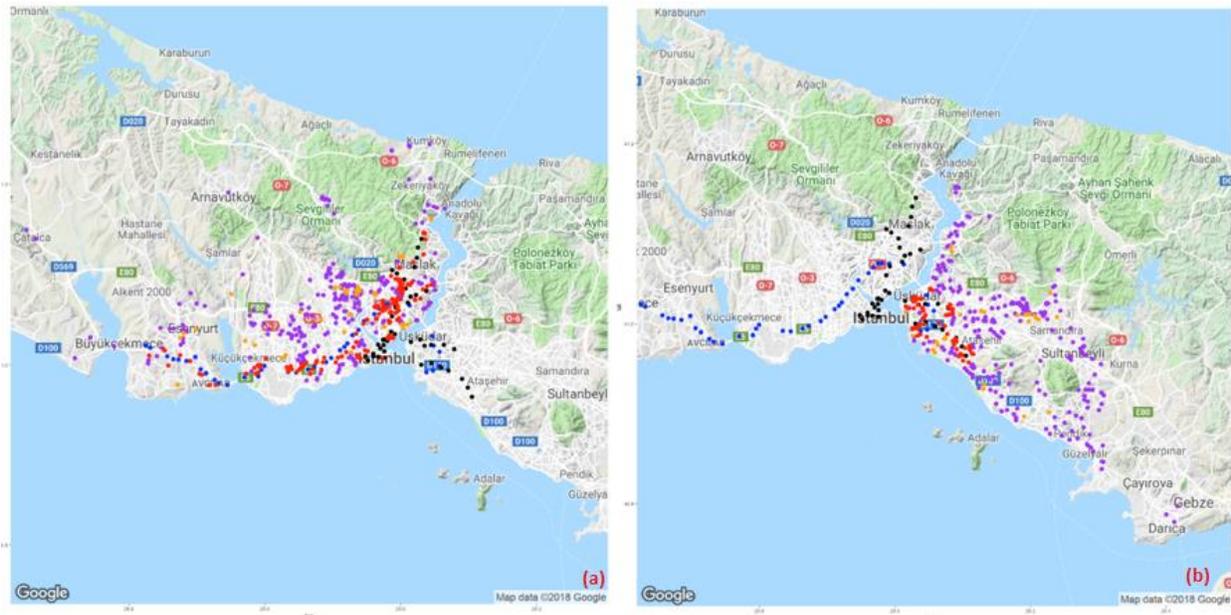
1 **FIGURE 4 An example for the shuttle route for European side of the city picking up the**
2 **passengers in cluster 13 (a) the shuttle route for Anatolian side of the city picking up the**
3 **passengers in cluster 5 (b).**
4

5 For the passengers at European side 18 shuttles were used. Maximum and average
6 walking distance of individuals are 509.9 meters and 69.36 meters, respectively. For the
7 passengers at Anatolian side 14 shuttles were used and maximum and average walking distance
8 of individuals are 564.9 meters and 59.51 meters, respectively. In total, 32 shuttles are being used
9 for the optimized system which was 61 shuttles before this new system. The short walking
10 distances and a decrease of this size indicates that the new system shows promise. Also checking
11 the road conditions by hand as a final check, to avoid roads with congestion especially during
12 peak hours and too many traffic lights, is expected to provide improved travel times. Also,
13 manual control as a last step can provide more useful routes for the passengers, which was one of
14 the biggest complaints of the passengers.

15 After the shuttle optimization method showed promising results, for further
16 improvement and increased sustainability of the system, the shuttle system is integrated with
17 public transport systems. This system has increased level of complexity compared to the
18 previous method, which only required manual final checks. For this system to be fair and
19 effective, more ground rules were required. These are as follows:
20

- 21 • The passengers in the HUB category are picked up from their homes directly instead of
22 making them walk for a certain distance;
- 23 • For shuttles with very few passengers (3 or 4 passengers at max), these shuttles will be
24 merged with other suitable shuttles and these shuttles will either go to the campus directly or to
25 the most adequate public transportation station;
- 26 • Passengers that can arrive to the campus with subway directly or with one transfer, will
27 not be picked up by any shuttles;
- 28 • The shuttles that are coming from very distant locations will go to the campus directly
29 due to the fact that the travel time with public transportation may significantly increase their
30 previous travel time. This decision will be made during the final manual check with great care
31 for avoiding the exploitation of this rule.

32
33 The categorized personnel based on how they will reach to the campus, are plotted with
34 the previously mentioned public transportation lines. The passengers that are assigned to their
35 categories are shown in the figures with assigned colors for both European and Anatolian (Figure
36 5) side of the city.
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FIGURE 5 The passengers at European (a) and Anatolian (b) side assigned to their categories (Purple: Hub, Red: Direct public transport, orange: transfer public transport) and the BRT (blue) and metro stations (black).

After classifying the passengers, the previously mentioned algorithm is again utilized and the manual check is conducted with the consideration of the rules presented before. The shuttles with the passengers that are assigned to them will go to the public transport stations in both the European and Anatolian side. The assigned public transit stations are given in the figure (Figure 6).

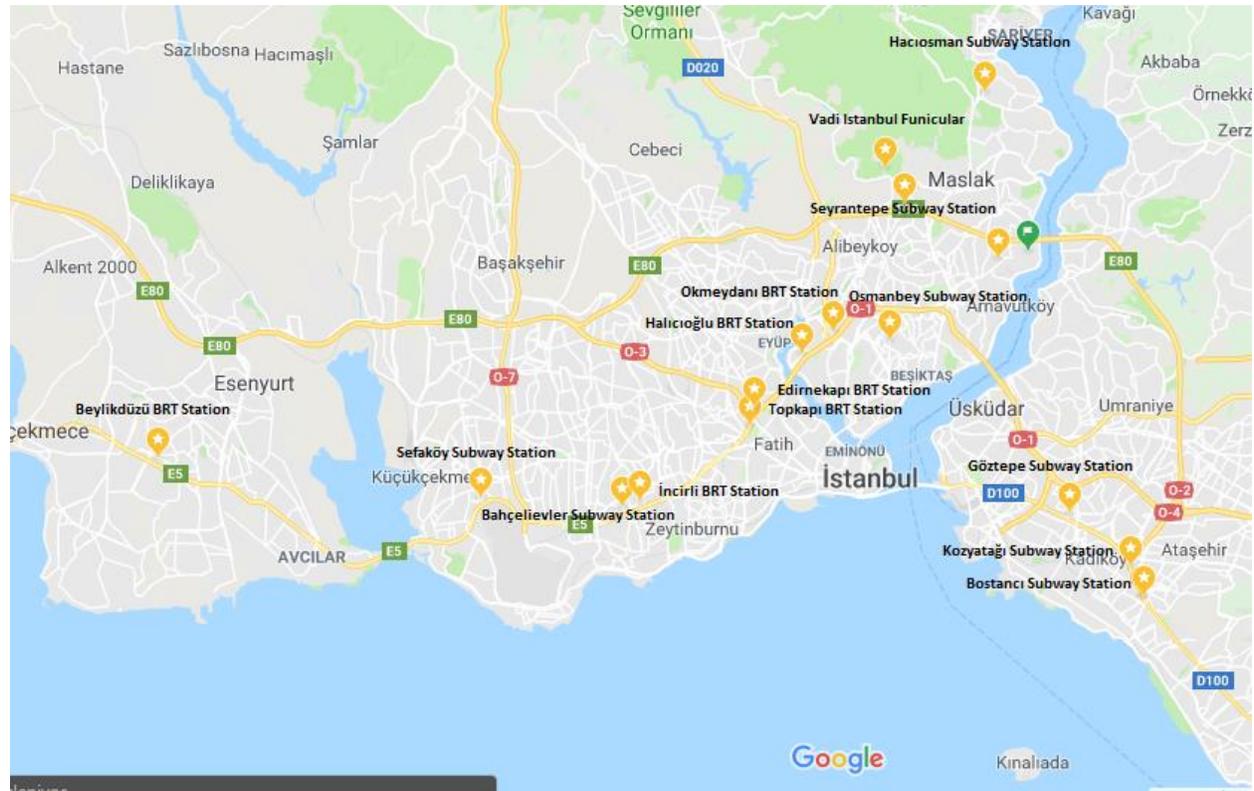


FIGURE 6 The shuttles' assigned public transport station locations.

With the assignments of these shuttles, the number of shuttles is decreased significantly. This gives the opportunity for improved traffic conditions in the city center and especially in the business district zones due to the fact that majority of these stations are not inside zone that can be considered as the city center or the business district.

By applying this new systematic method, the number of shuttles is decreased from 61 shuttles to 32. When subwaypooling system is presented additional benefits were gained by decreasing the number of shuttles even further from 32 to 24. With the subwaypooling system the number of passengers that are transported with this shuttle system also decreased from 1166 to 574 (Table 1).

TABLE 1 Comparison of The Shuttle Systems.

	Current System	System Obtained with Shuttle Optimization	Subwaypooling System
Number of Shuttles	61	32	24
Number of Passengers Transported with Shuttles	1166	1166	574

The decrease of the number of shuttles can benefit the traffic conditions in the long term. Especially due to the fact that they occupy significant amount of parking space during the day and cause additional traffic load in the city center and business district zones. This additional traffic load effects the public buses in these zones negatively and therefore the passengers using public buses also get effected negatively, creating an equity issue. By decreasing the number of

1 shuttles the negative impact of the personnel shuttles on the equity is decreased. In addition, the
2 number of passengers that are transported via shuttles are decreased by diverting the most
3 number of passengers to the public transportation systems for going to and returning from the
4 campus. By diverting these passengers, the public transportation is, in a way, promoted.
5 Promoting the public transportation may increase the daily usage of this system and can provide
6 improved traffic conditions and environmental benefits with decreased CO₂ emissions. With the
7 excess budget gained from these improvements, the public transportation systems can be
8 improved, making it more accessible and comfortable. The benefits to all groups of passengers
9 will improve the equity conditions.

10 11 **CONCLUSION**

12 Personnel shuttle system is an important transportation service that provides comfortable travels
13 for its passengers free of charge. This system; however, only transports a group of travelers,
14 which are the employees of a private or a public workplace. In addition, these services are
15 planned with no systematic method and therefore cause additional traffic congestion especially
16 during peak hours and loss of precious parking space for the rest of the day after they complete
17 their tasks in the morning or evening. With the increase of the population and workforce in the
18 city, this system will continue to grow its impact on the traffic conditions, government and
19 private company budgets. These properties of this service show that the system in its current
20 state is unsustainable and the fact that in order to maintain this system a significant amount of
21 finance is required, creates an equity issue. The improvements of other public transportation
22 systems that can benefit all citizens in the long term may be encumbered due to this financial
23 burden. In order to improve the efficiency and decrease the impact of this system to the equity
24 among other passengers, a systematic method is proposed which aims to decrease the number of
25 vehicles in the system and decrease its financial burden on the budgets of the private companies
26 and governments. For further improvements, a new system is also proposed for cities with
27 sufficient capacity that can satisfy the travel demand of passengers especially during peak hours.
28 This new system, which was called *Subwaypooling* does not only improve the personnel shuttle
29 services' efficiency, but also it promotes the use of public transportation systems and may
30 provide long term benefits. With the newly proposed system the government can spend its
31 budget more, for improving the conditions of the public transportation systems. With systematic
32 methods and a final manual check before finalization of the routes and passenger assignments,
33 the travel times of the personnel shuttles are expected to be decreased and a more efficient
34 system environment will be possible.

35 36 **AUTHOR CONTRIBUTION STATEMENT**

37 The authors confirm contribution to the paper as follows: study conception and design: Gokasar;
38 data collection: Gokasar; analysis and interpretation of results: Gokasar, Arisoy, Aytekin; draft
39 manuscript preparation: Gokasar, Arisoy, Aytekin. All authors reviewed the results and approved
40 the final version of the manuscript.

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